

## SANCTIONS LIST

Drug Policy Suspension	<u>Rodney Noble</u> June 21, 2007
Drug Policy Suspension	<u>Charles W. Vitatoe, Jr.</u> June 21, 2007
Drug Policy Suspension	<u>Gary Adkins</u> June 19, 2007
Drug Policy Suspension	<u>Cory Leason</u> June 14, 2007
Drug Policy Suspension	<u>Fidel Ramon Morales</u> June 14, 2007
Drug Policy Suspension	<u>Carlos L. Sturgill</u> June 14, 2007
Drug Policy Suspension	<u>Shane H. Gambrel</u> June 14, 2007
Drug Policy Suspension	<u>Gary Pendergrass</u> June 14, 2007
Drug Policy Suspension	<u>Anthony W. Adams</u> June 12, 2007
Drug Policy Suspension	<u>E.J. Estep</u> June 11, 2007
Drug Policy Suspension	<u>Mitchell John Napier</u> June 7, 2007
Drug Policy Suspension	<u>Jody R. Rose</u> June 6, 2007
Drug Policy Suspension	<u>Stephen M. Kilgore</u> June 6, 2007
Drug Policy Suspension	<u>Larry N. Tooley, Jr.</u> June 6, 2007
Drug Policy Suspension	<u>Gregory T. Francis</u> June 6, 2007
Drug Policy Suspension	<u>Andrew T. Hurley</u> June 6, 2007
Drug Policy Suspension	<u>Howie J. Young</u> May 31, 2007

Drug Policy Suspension	<u>Mike Holman</u> May 29, 2007
Drug Policy Suspension	<u>Jeremy Wallen</u> May 29, 2007
Drug Policy Suspension	<u>Jeff Noble</u> May 29, 2007
Drug Policy Suspension	<u>Ralph Sparks</u> May 25, 2007
Drug Policy Suspension	<u>Jerry D. Morgan</u> May 25, 2007
Drug Policy Suspension	<u>Jerry Morgan</u> May 25, 2007
Drug Policy Suspension	<u>Dimont Harris</u> May 21, 2007
Drug Policy Suspension	<u>Irvin Stacy</u> May 17, 2007
Drug Policy Suspension	<u>Billy J. Sexton</u> May 17, 2007
Drug Policy Suspension	<u>Jarrod L. Sergent</u> May 17, 2007
Drug Policy Suspension	<u>Michael Anderson</u> May 17, 2007
Drug Policy Suspension	<u>Terry Michael Branham</u> May 17, 2007
Drug Policy Suspension	<u>Danny Cole</u> May 17, 2007
Drug Policy Suspension	<u>Ricky Casebolt</u> May 17, 2007
Drug Policy Suspension	<u>Doyle E. Gay</u> May 16, 2007
Drug Policy Suspension	<u>Robert Shackey</u> May 16, 2007
Drug Policy Suspension	<u>Steven Michael Epling</u> May 14, 2007

Drug Policy Suspension	<u>William M. Back</u> May 11, 2007
Drug Policy Suspension	<u>Rocky Niece</u> May 10, 2007
Drug Policy Suspension	<u>William P. Eldridge</u> May 8, 2007
Drug Policy Suspension	<u>Matthew H. Childress</u> May 7, 2007
Drug Policy Suspension	<u>David C. Richardson</u> May 4, 2007
Drug Policy Suspension	<u>Jeffery Slone</u> May 4, 2007
Drug Policy Suspension	<u>David T. McDaniels</u> May 4, 2007
Drug Policy Suspension	<u>Landon Collins</u> May 4, 2007
Drug Policy Suspension	<u>Bryan K. Bowles</u> May 4, 2007
Drug Policy Suspension	<u>Carroll Gene Harris</u> May 4, 2007
Drug Policy Suspension	<u>Raleigh Keith Hensley</u> May 1, 2007
Drug Policy Suspension	<u>Scotty Wayne Sifers</u> May 1, 2007
Drug Policy Suspension	<u>Jesse D. Scott</u> May 1, 2007
Drug Policy Suspension	<u>David A. Laws</u> May 1, 2007
Drug Policy Suspension	<u>Michael B. Peterson</u> April 17, 2007
Drug Policy Suspension	<u>Richard L. Caudill</u> April 17, 2007
Drug Policy Suspension	<u>Jamie Tackett</u> April 17, 2007
Drug Policy Suspension	<u>Douglas Couch</u>

April 12, 2007

Drug Policy Suspension Blake R. Bondurant  
April 12, 2007

Drug Policy Suspension Tracey Ratliff  
April 10, 2007  
Revocation General Blaster License, Surface Miner & Temp  
Surface Miner Certs 6/14/07

Drug Policy Suspension Joshua Stafford  
March 29, 2007

Drug Policy Suspension Christopher Dunn  
March 27, 2007

Drug Policy Suspension A.J. Fields Hazard  
Suspended March 21, 2007  
Settlement – April 20, 2007  
Sanctions: 1 year Probation Surface Miner Cert 4/26/08  
Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary  
Submit to drug testing every 30 days  
Probation Completed:  
Evaluation Completed: **YES – 4/19/07** (No treatment necessary)

Drug Policy Suspension Clayton Bernard Banks  
March 21, 2007

Drug Policy Suspension Doug Begley  
March 19, 2007

Drug Policy Suspension James Cornett  
March 19, 2007

Drug Policy Suspension Cova Nantz  
March 19, 2007

Drug Policy Suspension Jerry S. Sumner, Jr.  
March 19, 2007

Drug Policy Suspension Rodney Estep Pikeville  
Suspended March 16, 2007  
Settlement – April 20, 2007  
Sanctions: 1 year Probation UG Miner Cert (4/26/08)  
1 year Probation Gas Detection Cert (4/26/08)  
Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary  
Submit to drug testing once every 30 days  
Probation Completed:  
Evaluation Completed: **YES – 4/18/07** (No treatment necessary)

Drug Policy Suspension	<u>Nicholas William Smith</u> March 15, 2007
Drug Policy Suspension	<u>Bobby Gene Charles, Jr.</u> March 15, 2007
Drug Policy Suspension	<u>Michael Sparks</u> March 15, 2007
Drug Policy Suspension	<u>Timothy L. Sammons</u> March 13, 2007
Drug Policy Suspension	<u>Phillip Hudson</u> March 13, 2007
Drug Policy Suspension	<u>Robert W. Schmaltz</u> March 9, 2007
Drug Policy Suspension	<u>Kris Caudill</u> March 9, 2007
Drug Policy Suspension	<u>Adam L. Isaac</u> March 9, 2007
Drug Policy Suspension	<u>Michael D. Hannah</u> March 8, 2007
Drug Policy Suspension	<u>Forrest Davis</u> Pikeville Suspended March 2, 2007 Settlement – April 20, 2007 Sanctions:     1 year Probation UG Miner Cert (4/26/08) 1 year Probation Surface Miner Cert (4/26/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Evaluation Completed: <b>YES</b> – <b>4/3/07</b> (Counseling was recommended and he has enrolled. Needs to complete 4 outpatient sessions.) Counseling Completed: <b>YES</b> – <b>4/24/07</b>
Drug Policy Suspension	<u>Joseph Cook</u> February 28, 2007
Drug Policy Suspension	<u>Jeffery Steve Meadows</u> February 27, 2007
Drug Policy Suspension	<u>James E. Lewis</u> February 27, 2007
Drug Policy Suspension	<u>Claude W. Hall</u> February 27, 2007

Drug Policy Suspension	<u>Jonathan D. Branham</u>	Hazard
	Suspended February 27, 2007	
	Settlement – March 16, 2007	
	Sanctions: 1 year Probation Surface Miner Cert (4/26/08)	
	1 year Probation MET Cert (4/26/08)	
	1 year Suspension Surface Mine Foreman Cert (4/26/08)	
	Evaluation by an addiction professional and enroll in treatment/counseling, if necessary	
	Submit to drug testing once every 30 days	
	Probation Completed:	
	Suspension Completed:	
	Evaluation Completed: <b>YES</b> – <b>4/23/07</b> (Counseling was recommended and Branham has enrolled.)	
	Counseling Completed:	
Drug Policy Suspension	<u>Jason Worley</u>	
	February 23, 2007	
Drug Policy Suspension	<u>Marcus E. Combs</u>	
	February 23, 2007	
Drug Policy Suspension	<u>Alonzo F. Fugate</u>	
	February 22, 2007	
Drug Policy Suspension	<u>Charles B. Sizemore</u>	
	February 16, 2007	
Drug Policy Suspension	<u>Samuel Lee Napier</u>	
	February 15, 2007	
Drug Policy Suspension	<u>Joshua James Ison</u>	
	February 15, 2007	
Drug Policy Suspension	<u>Charlie Ward</u>	
	February 14, 2007	
Drug Policy Suspension	<u>Chris W. Johnson</u>	
	Suspended February 14, 2007	
	Revocation UG Miner Cert 5/10/07	
Drug Policy Suspension	<u>James Joseph</u>	
	February 14, 2007	
Drug Policy Suspension	<u>Albert J. Kilburn</u>	Hazard
	Suspended February 14, 2007	
	Settlement – April 20, 2007	
	Sanctions: 1 year Probation UG Miner Cert (4/26/08)	
	1 year Probation Temp Surface Miner Cert (4/26/08)	

Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary  
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 4/25/07** (No treatment necessary)

Drug Policy Suspension

Joshua W. Gamble Hazard

Suspended February 14, 2007

Settlement – March 16, 2007

Sanctions: 1 year Probation Surface Miner Cert (3/22/08)  
Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Cody L. Denton

February 13, 2007

Drug Policy Suspension

Robert F. Ellison

February 9, 2007

Drug Policy Suspension

Dennis Branim Harlan

Suspended February 8, 2007

Settlement – March 16, 2007

Sanctions: 1 year Probation Gas Detection Cert (3/22/08)

1 year Probation UG Miner Cert (3/22/08)

Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 3/14/07** (No treatment necessary)

Drug Policy Suspension

Eddie D. Goley

February 8, 2007

Drug Policy Suspension

James Housley

February 8, 2007

Drug Policy Suspension

Steven C. Fields

February 8, 2007

Drug Policy Suspension

David E. Caudill

Madisonville

Suspended February 1, 2007

Settlement – March 16, 2007

Sanctions: 1 year Probation Belt Examiner Cert (4/26/08)

1 year Probation UG Miner Cert (4/26/08)

1 year Probation Surface Miner Cert (4/26/08)

1 year Suspension Shot-Firer Conventional Cert  
(4/26/08)

Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES** – **3/28/07** (Counseling was recommended and Caudill has enrolled.)  
Counseling Completed: **YES** – **5/14/07**

Drug Policy Suspension	<u>Timothy W. Langdon</u> Hazard Suspended January 31, 2007 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) 1 year Probation Surface Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: <b>YES</b> – <b>3/21/07</b> (No treatment necessary)
Drug Policy Suspension	<u>Shawn David Moore</u> January 30, 2007
Drug Policy Suspension	<u>James L. Smith</u> Hazard Suspended January 29, 2007 Settlement – March 16, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) 1 year Probation Electrical Worker Cert (3/22/08) UG Mine Foreman Cert will remain suspended until Smith completes probationary period Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Suspension Completed: Evaluation Completed: <b>YES</b> – <b>3/19/07</b> (Currently enrolled in outpatient counseling) Counseling Completed: <b>YES</b> – <b>4/30/07</b>
Drug Policy Suspension	<u>Michael Bentley</u> January 25, 2007
Drug Policy Suspension	<u>Claude S. Sargent</u> Suspended January 24, 2007 Revocation Surface Miner Cert 4/25/07
Drug Policy Suspension	<u>Jason Lee Johnson</u> January 23, 2007
Drug Policy Suspension	<u>Ricky L. Smith</u> Suspended January 22, 2007 Revocation UG Miner & Surface Miner Certs 4/25/07
Drug Policy Suspension	<u>Justin Derrick Ratliff</u> Suspended January 19, 2007 Revocation Temp UG and Surface Miner Certs 5/10/07

Drug Policy Suspension	<u>Jarrold R. Adams</u> January 17, 2007
Drug Policy Suspension	<u>Mickey Ison</u> January 16, 2007
Drug Policy Suspension	<u>Michael R. Faustich</u> Harlan Suspended January 16, 2007 Settlement – March 16, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: <b>YES – 3/22/07</b> (No treatment necessary)
Drug Policy Suspension	<u>Michael Kent Mullins</u> January 9, 2007
Drug Policy Suspension	<u>Robert Joseph</u> January 9, 2007
Drug Policy Suspension	<u>William H. Lewis</u> January 4, 2007
Drug Policy Suspension	<u>Oral Wade Hoskins</u> January 4, 2007
Drug Policy Suspension	<u>Bobby L. Smith</u> Hazard Suspended January 4, 2007 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: <b>YES – 2/7/08</b> (No treatment necessary)
Drug Policy Suspension	<u>Michael Smith</u> January 4, 2007
Drug Policy Suspension	<u>Walter Young</u> January 4, 2007
Drug Policy Suspension	<u>Michael Ray King</u> January 3, 2007
Drug Policy Suspension	<u>Jason Pauley</u> January 3, 2007
Drug Policy Suspension	<u>Mark A. Preece</u> January 2, 2007

Drug Policy Suspension	<u>William P. Ball</u> Harlan Suspended January 2, 2007 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (2/22/08) 1 year Probation Gas Detection Cert (2/22/08) 1 year Probation Drill Operator Cert (2/22/08) UG Mine Foreman, MET and Shot Firer Certs suspended until Ball completes probationary period Continue AA program through probation or until counselors certify completion of program Probation Completed: Suspension Completed: Counseling Completed:
Drug Policy Suspension	<u>Brandon Hensley</u> December 22, 2006
Drug Policy Suspension	<u>Darryl O. McNary</u> December 22, 2006
Drug Policy Suspension	<u>Brian Douglas Green</u> Harlan Suspended December 19, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: <b>YES – 2/22/07</b> (No treatment necessary)
Drug Policy Suspension	<u>Timothy P. Christopher</u> Suspended December 19, 2006 Revocation of UG Miner, UG Mine Foreman and Gas Detection Certs 5/10/07
Drug Policy Suspension	<u>Robert Charles Larsen</u> December 19, 2006
Drug Policy Suspension	<u>Willis Lewis, Jr.</u> December 15, 2006
Drug Policy Suspension	<u>Anthony Gregory</u> Suspended November 7, 2006 Revocation of UG Miner Cert 5/10/07
Drug Policy Suspension	<u>Richard D. Robinson, Jr.</u> Harlan Suspended December 14, 2006 Permanent Revocation UG Miner Cert 3/16/07
Drug Policy Suspension	<u>Timothy Nantz</u> Harlan Suspended December 14, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)  
1 year Probation Gas Detection Cert (1/25/08)  
Continue counseling and treatment program during  
probation period unless certified by counselor that  
treatment is completed  
Submit to drug testing once every 30 days

Probation Completed:

Counseling Completed:

Drug Policy Suspension

Dustin M. Eddings

December 14, 2006

Drug Policy Suspension

Terry Wayne Bevins

Suspended December 14, 2006

Revocation UG Miner, Electrical Worker, First Aid, Gas  
Detection, MET, Mine Foreman, Shot firer-conventional & Shot  
firer-solid blasting certificates 3/22/07

Drug Policy Suspension

Donald R. Nantz Harlan

Suspended December 14, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)  
Continue counseling and treatment program during  
probation period unless certified by counselor that  
treatment is completed  
Submit to drug testing once every 30 days

Probation Completed:

Counseling Completed:

Drug Policy Suspension

William K. Jervis Harlan

Suspended December 12, 2006

Settlement – February 8, 2007

Sanctions: 1 year Probation UG Miner Cert (3/22/08)  
Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Gregory A. Maggard Hazard

Suspended December 12, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (2/22/08)  
1 year Probation Gas Detection Cert (2/22/08)  
Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary  
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/4/07** (No treatment necessary)

Drug Policy Suspension

Scott C. Sutton

Suspended December 11, 2006

Revocation Surface Miner Cert 3/22/07

Drug Policy Suspension	<u>Devin Newsome</u> December 7, 2006
Drug Policy Suspension	<u>Brien Wilson</u> Suspended December 7, 2006 Revocation Surface Miner Cert 4/25/07
Drug Policy Suspension	<u>James Andrew Green</u> December 6, 2006
Drug Policy Suspension	<u>Timothy V. Hardy</u> Madisonville Suspended December 6, 2006 Settlement – January 11, 2006 Sanctions:     1 year Probation UG Miner Cert (1/25/08) 1 year Probation Gas Detection Cert (1/25/08) Continue counseling and treatment program during probation period unless certified by counselor that treatment is completed Submit to drug testing once every 30 days Probation Completed: Counseling Completed:
Drug Policy Suspension	<u>Ryan S. Franklin</u> December 4, 2006
Drug Policy Suspension	<u>Leslie Maynard</u> Suspended November 30, 2006 Revocation UG Miner Cert 3/22/07
Drug Policy Suspension	<u>Shawn E. Ailiff</u> Suspended November 30, 2006 Revocation of Temp Surface Miner, Temp UG Miner and UG Miner Certs 5/10/07
Drug Policy Suspension	<u>Mansford Gibson, Jr.</u> November 29, 2006
Drug Policy Suspension	<u>Gregory A. Blanton</u> Harlan Suspended November 29, 2006 Settlement – December 14, 2006 Sanctions:     1 year Probation UG Miner Cert (1/25/08) 1 year Probation Gas Cert (1/25/08) Submit to drug testing every 30 days Probation Completed:
Drug Policy Suspension	<u>Joshua R. Joseph</u> Hazard Suspended November 28, 2006 Settlement – February 8, 2007 Sanctions:     1 year Probation UG Miner Cert (2/22/08) Participate in substance abuse counseling until program certifies he has successfully completed program

Submit to drug testing once every 30 days

Probation Completed:

Counseling Completed:

Drug Policy Suspension

Jamie Osborne

Suspended November 17, 2006

Revocation UG Miner Cert 3/22/07

Drug Policy Suspension

Jonathan D. Tackett Hazard

Suspended November 16, 2006

Settlement – November 9, 2006

Sanctions: 1 year Probation UG Miner Cert (1/25/08)

1 year Probation Drill Operator Cert (1/25/08)

1 year Probation Gas Detection Cert (1/25/08)

Conventional and solid blasting shot firer certificates to remain suspended until probation period is completed

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 1/8/07** (No treatment necessary)

Drug Policy Suspension

Kyle Whitney Sammons

Suspended November 16, 2006

Revocation Surface Miner Cert 4/25/07

Drug Policy Suspension

Thomas N. Slone

Suspended November 16, 2006

Revocation UG Miner Cert 4/25/07

Drug Policy Suspension

Hearrel B. Ray

Suspended November 15, 2006

Revocation UG Miner & Temp Surface Miner Certs 4/25/07

Drug Policy Suspension

Jeremy Maxie

Suspended November 9, 2006

Revocation Temp Surface Miner Cert 3/22/07

Drug Policy Suspension

Nicholas M. Ward

November 6, 2006

Drug Policy Suspension

Brian S. Bowen

November 6, 2006

Drug Policy Suspension

Jim Yinger

Suspended November 2, 2006

Revocation UG Miner & Gas Detection Certs 4/25/07

Drug Policy Suspension

Richard Forest Watkins, Sr. Madisonville

Suspended November 2, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation Temp Surface Miner Cert (1/25/08)  
1 year Probation Electrical Worker Cert (1/25/08)  
1 year Probation UG Miner Cert (1/25/08)  
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary  
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/12/07** (No treatment necessary)

Drug Policy Suspension

Lacy Pauley, Jr.

Suspended November 2, 2006

Revocation Surface Miner & Temp UG Miner Certs 4/25/07

Drug Policy Suspension

James Dean

October 26, 2006

Drug Policy Suspension

Kenny Marcum Martin

Suspended October 26, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation Surface Miner's Cert (1/4/08)

Submit to drug testing once every 30 days

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Probation Completed:

Evaluation Completed: **Yes – 12/19/06** (No treatment necessary)

Drug Policy Suspension

Kenneth W. Spangler Hazard

Suspended October 26, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)

1 year probation Gas Detection Cert (1/4/08)

Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

James Scott Pikeville

Suspended October 25, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)

1 year probation Gas Detection Cert (1/4/08)

1 year probation Electrical Worker's Cert (1/4/08)

Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Kenneth R. Mounts

Suspended October 25, 2006

Revocation Surface Mine Foreman, Surface Miner, Gas Detection and MET Certs 3/22/07

Drug Policy Suspension

Charles Jason Cantrell

Suspended October 25, 2006

Revocation Surface Miner & Gas Detection Certs 4/25/07

Drug Policy Suspension	<u>Anthony Bledsoe</u> Harlan Suspended October 23, 2006 Settlement – January 11, 2007 Sanctions: 1 year Probation UG Miner Cert (1/25/08) 1 year Probation Surface Miner Cert (1/25/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Evaluation Completed: <b>YES – 1/15/07</b> (No treatment necessary)
Drug Policy Suspension	<u>Ross Ewing</u> Suspended October 23, 2006 Settlement – January 11, 2007 Sanctions: 1 year Probation UG Miner Cert (2/22/08) 1 year Probation Gas Detection Cert (2/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: <b>YES – 1/25/07</b> (No treatment necessary)
Drug Policy Suspension	<u>Jessie Creech</u> October 23, 2006
Drug Policy Suspension	<u>Earl Bransford Feltner</u> Suspended October 23, 2006 Revocation Surface Miner's Cert 4/25/07
Drug Policy Suspension	<u>Keith Tackett</u> Martin Suspended October 17, 2006 Permanent Revocation Surface Miner Cert 6/14/07
Drug Policy Suspension	<u>Stephen Todd Maggard</u> Suspended October 17, 2006 Revocation Temp Surface Miner and UG Miner Certs 3/22/07
Drug Policy Suspension	<u>Jason Maggard</u> Suspended October 13, 2006 Revocation UG Miner and Gas Detection Certs 3/22/07
Drug Policy Suspension	<u>Troy A. Collett</u> October 13, 2006
Drug Policy Suspension	<u>Earl Caudill</u> Suspended October 13, 2006 Revocation UG Miner's Cert 2/12/07
Drug Policy Suspension	<u>Timothy R. Blanton</u> Harlan Suspended October 13, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)  
Submit to drug testing once every 30 days  
Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary

Probation Completed:

Evaluation Completed: **Yes – 12/19/06** (No treatment necessary)

Drug Policy Suspension

Ernest Toler

Suspended October 12, 2006

Revocation UG Miner & Gas Detection Certs 4/25/07

Drug Policy Suspension

Roger Ben Tibbs

October 12, 2006

Drug Policy Suspension

Donald Hurt

Suspended October 12, 2006

Drug Policy Suspension

Ricky Eversole Hazard

Suspended October 10, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)  
1 year Probation Gas Detection Cert (1/25/08)  
UG Mine Foreman Cert will remain suspended until  
Eversole completes probation period  
Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary  
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/11/07** (No treatment necessary)

Drug Policy Suspension

Clarence Dwayne Coots Hazard

Suspended October 10, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)  
1 year Probation Surface Miner Cert (1/25/08)  
Evaluation by an addiction professional and enroll  
in treatment/counseling, if necessary  
Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/23/07** (No treatment necessary)

Drug Policy Suspension

Stuart Cantrell Pikeville

Suspended October 10, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation Surface Miner's Cert (1/4/08)  
1 year suspension Blasting License (1/4/08)  
Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Drug Policy Suspension

Gary Michael Young

October 10, 2006

- Drug Policy Suspension     Ronald Jim Dean  
Suspended October 9, 2006  
Revocation Temp Surface Miner Cert 4/25/07
- Drug Policy Suspension     Christopher M. Saylor  
Suspended October 6, 2006  
Revocation Surface Miner's Cert 4/25/07
- Drug Policy Suspension     Teddy Green             Harlan  
Suspended October 5, 2006  
Settlement – November 9, 2006  
Sanctions:     1 year Probation UG Miner Cert (2/22/08)  
                         1 year Probation Gas Detection Cert (2/22/08)  
                         Evaluation by an addiction professional and enroll  
                         in treatment/counseling, if necessary  
                         Submit to drug testing every 30 days  
Probation Completed:  
Evaluation Completed: **YES – 2/7/07** (No treatment necessary)
- Drug Policy Suspension     Edison D. Garrett  
Suspended October 5, 2006  
Revocation Surface Miner, Surface Mine Foreman and MET Certs  
3/22/07
- Drug Policy Suspension     Roger Dean Little     Pikeville  
Suspended October 4, 2006  
Settlement – December 14, 2006  
Sanctions:     1 year Probation UG Miner Cert (1/25/08)  
                         Evaluation by an addiction professional and enroll  
                         in treatment/counseling, if necessary  
                         Submit to drug testing once every 30 days  
Probation Completed:  
Evaluation Completed: **YES – 1/17/07** (Counseling for  
alcoholism)  
Counseling Completed:
- Drug Policy Suspension     Jimmy A. McCary     Pikeville  
Suspended October 4, 2006  
Revocation Temp UG Miner Cert & Surface Miner Cert on  
2/12/07
- Drug Policy Suspension     Michael Wayne Hayes  
Suspended October 4, 2006  
Revocation UG Miner Cert 3/22/07
- Drug Policy Suspension     Terry G. Webb  
Suspended September 29, 2006  
Revocation UG Miner's Cert 2/12/07
- Drug Policy Suspension     David Prow

Suspended September 29, 2006  
Revocation Surface Miner's Cert, UG Miner's Cert, Electrical &  
Gas Detection Cert 2/12/07

Drug Policy Suspension Paul Edwards Bowens, Jr. Pikeville  
Suspended September 29, 2006  
Revocation Surface Miner's Cert on 1/16/07

Drug Policy Suspension Matthew D. Fluty  
September 22, 2006

Drug Policy Suspension Clayton Slone Hazard  
Suspended September 21, 2006  
Revocation UG Miner's Cert, Surface Miner's Cert, Gas Detection  
Cert 2/12/07

Drug Policy Suspension Mark Hinkle  
Suspended September 20, 2006  
Permanent Revocation Surface Miner, UG Miner, MET & Gas  
Detection Certs 6/14/07

Drug Policy Suspension Chris Borders  
Suspended September 19, 2006  
Settlement – October 12, 2006  
Sanctions: 1 year probation UG Miner's Cert (10/26/07)  
1 year probation Gas Detection Cert (10/26/07)  
Submit to drug testing once every 30 days  
Probation Completed:

Drug Policy Suspension Charles G. Conn Martin  
Suspended September 29, 2006  
Settlement – November 9, 2006  
Sanctions: 1 year probation UG Miner's Cert (1/4/08)  
1 year probation Gas Detection Cert (1/4/08)  
Submit to drug testing once every 30 days  
Probation Completed:

Drug Policy Suspension Troy Belcher Pikeville  
Suspended September 18, 2006  
Revocation Temp Surface Miner's Cert 2/9/07

Drug Policy Suspension Treavor Slone  
Suspended September 13, 2006  
Revocation UG Miner's Cert & Gas Detection Cert 2/12/07

Drug Policy Suspension Jason S. Cowan  
September 13, 2006

Drug Policy Suspension Roy Hunt  
September 13, 2006

Drug Policy Suspension John W. Wright

Suspended September 11, 2006  
Revocation UG Miner's Cert 2/12/07

Drug Policy Suspension Christopher L. Brown  
September 7, 2006

Drug Policy Suspension Timothy N. Caudill  
Suspended September 6, 2006  
Permanent Revocation UG Miner & Temp Surface Miner Certs  
3/16/07

Drug Policy Suspension Robbie E. Collins  
Suspended September 6, 2006  
Settlement – October 12, 2006  
Sanctions: 1 year probation Surface Miner's Cert (10/26/07)  
1 year probation UG Miner's Cert (10/26/07)  
1 year probation Gas Detection Cert (10/26/07)  
Submit to drug testing once every 30 days  
Probation Completed:

Drug Policy Suspension Ronnie Caldwell  
Suspended September 1, 2006  
Permanent Revocation of UG Miner's and Gas Detection Certs  
5/10/07

Drug Policy Suspension Jeffrey N. Eldridge  
Suspended August 30, 2006  
Revocation Surface Miner's Cert on 1/16/07

Drug Policy Suspension Ronnie Engle  
Suspended August 30, 2006  
Permanent Revocation of UG Miner's Cert 5/10/07

Drug Policy Suspension Johnny Ray James  
Suspended August 29, 2006  
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension William J. Russell  
Suspended August 28, 2006  
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension Richard F. Bray  
August 28, 2006

Drug Policy Suspension Jonathan L. Stewart  
Suspended August 28, 2006  
Settlement – October 12, 2006  
Sanctions: 1 year probation UG Miner's Cert (10/26/07)  
Submit to drug testing once every 30 days  
Probation Completed:



Drug Policy Suspension	<u>Marlin Williams</u>	Hazard
	Suspended August 17, 2006	
	Permanent Revocation Surface Miner Cert 6/14/07	
Drug Policy Suspension	<u>John Inman</u>	
	Suspended August 17, 2006	
	Permanent Revocation UG Miner & Gas Detection Certs 3/16/07	
Drug Policy Suspension	<u>Jackie S. Williams</u>	Hazard
	Suspended August 17, 2006	
	Permanent Revocation Temp UG Miner Cert 6/14/07	
Drug Policy Suspension	<u>Everett Lyons, Jr.</u>	
	August 17, 2006	
Drug Policy Suspension	<u>John M. Fraley</u>	Hazard
	Suspended August 17, 2006	
	Revocation Temp Surface Miner's Cert 2/9/07	
Drug Policy Suspension	<u>Gary L. Pierce</u>	Madisonville
	Suspended August 16, 2006	
	Settlement – November 9, 2006	
	Sanctions:	1 year probation UG Miner's Cert (1/4/08)
		1 year probation Gas Detection Cert (1/4/08)
		Submit to drug testing once every 30 days
	Probation Completed:	
Drug Policy Suspension	<u>Tristan Keathley</u>	
	Suspended August 16, 2006	
	Revocation Temporary Surface Miner's Cert 1/16/07	
Drug Policy Suspension	<u>Kevin Justice, Jr.</u>	
	Suspended August 16, 2006	
	Revocation Temporary UG Miner's Cert on 1/16/07	
Drug Policy Suspension	<u>Ricky Cantrell</u>	
	Suspended August 16, 2006	
	Revocation Surface Miner's and MET Cert on 1/16/07	
Drug Policy Suspension	<u>Christopher L. Stamper</u>	Madisonville
	Suspended August 16, 2006	
	Settlement – September 28, 2006	
	Sanctions:	1 year Probation UG Miner's Cert (9/28/07)
		Submit to drug testing once every 30 days
	Probation Completed:	
Drug Policy Suspension	<u>Madison Litteral</u>	
	Suspended August 16, 2006	
	Revocation UG Miner's Cert on 1/16/07	
Drug Policy Suspension	<u>Jeffery Mulkey</u>	Martin
	Suspended August 16, 2006	

Settlement – September 28, 2006  
Sanctions: 1 year probation Surface Miner’s Cert (9/28/07)  
Submit to drug testing once every 60 days  
Probation Completed:

Drug Policy Suspension Roger D. Russell Hazard  
Suspended August 16, 2006  
Settlement – September 28, 2006  
Sanctions: 1 year probation Surface Miner’s Cert (9/28/07)  
Submit to drug testing once every 60 days  
Probation Completed:

Drug Policy Suspension Rodney Stalker  
Suspended August 16, 2006  
Permanent Revocation of Surface Miner’s Cert 5/10/07

Drug Policy Suspension Anthony O. Davis  
Suspended August 16, 2006  
Settlement – September 26, 2006  
Sanctions: 1 year Probation Surface Miner’s Cert (9/28/07)  
Submit to drug testing once every 60 days  
Temporary UG Miner’s, UG Miner’s, Surface  
Miner Foreman and Convention Shot Firer  
Certificates subject to reinstatement once Davis has  
successfully completed probation  
Probation Completed:

Drug Policy Suspension Arie D. Baisden  
Suspended August 16, 2006  
Revocation Surface Miner’s Cert on 1/16/07

Drug Policy Suspension Jerry Chaffins  
Suspended August 16, 2006  
Revocation Temporary UG Miner’s and Surface Miner’s Cert on  
1/16/07

Drug Policy Suspension Glen Daniels Hazard  
Suspended August 10, 2006  
Settlement – September 28, 2006  
Sanctions: 1 year Probation Surface Miner’s Cert (9/28/07)  
Submit to drug testing once every 60 days  
Probation Completed:

Drug Policy Suspension Joshua D. Stidham  
Suspended August 4, 2006  
Permanent Revocation Surface Miner Cert, Temp UG Miner Cert  
6/14/07

Drug Policy Suspension Shade Campbell  
Suspended August 4, 2006  
Revocation Surface Miner’s Cert on 1/16/07

Drug Policy Suspension	<u>Michael S. Miller</u> Hazard Suspended August 4, 2006 Permanent Revocation Surface Miner Cert 6/14/07
Drug Policy Suspension	<u>Michael Dunigan</u> Hazard Suspended August 2, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation Surface Miner Cert (2/22/08) 1 year Probation Temp UG Miner Cert (2/22/08) Participate in substance abuse counseling until program certifies he has successfully completed program Submit to drug testing once every 30 days Probation Completed: Counseling Completed:
Drug Policy Suspension	<u>Donald G. Combs</u> Hazard Suspended August 2, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation Surface Miner Cert (2/22/08) 1 year Probation MET Cert (2/22/08) Surface Mine Foreman Cert subject to reinstatement once Combs has successfully completed probation Participate in substance abuse counseling until program certifies he has successfully completed program Submit to drug testing once every 30 days Probation Completed: Counseling Completed: <b>YES – 3/5/07</b>
Drug Policy Suspension	<u>Ronnie Shepherd</u> Hazard Suspended August 1, 2006 Revocation Temp UG Miner's Cert 2/12/07
Drug Policy Suspension	<u>Russell Dean Asher</u> Harlan Suspended July 31, 2006 Settlement – August 24, 2006 Sanctions: 1 year Probation Surface Miner's Cert (8/24/07) Submit to drug testing once every 60 days Probation Completed:
Drug Policy Suspension	<u>Danny R. Tackett</u> Pikeville Suspended July 31, 2006 Settlement – September 28, 2006 Sanctions: 1 year Probation UG Miner's Cert (10/2/07) 1 year Probation UG Mine Foreman's Cert (10/2/07) 1 year Probation MET Cert (10/2/07) Submit to drug testing once every 60 days Probation Completed:
Drug Policy Suspension	<u>Bryan Sprader</u>

Suspended July 26, 2006  
Revocation UG Miner Cert 3/22/07

Drug Policy Suspension     William Alex Brock             Harlan  
Suspended July 24, 2006  
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension     John Boggs                     Harlan  
Suspended July 24, 2006  
Settlement – August 24, 2006  
Sanctions:     1 year probation Surface Miner's Cert (8/24/07)  
                     1 year probation MET Cert (8/24/07)  
                     1 year probation Surface MF Cert (8/24/07)  
                     1 year probation General Blaster's Cert (8/24/07)  
                     Submit to drug testing once every 60 days  
Probation Completed:

Drug Policy Suspension     William M. Thacker  
Suspended July 18, 2006  
Settlement – September 28, 2006  
Sanctions:     1 year Probation Surface Miner's Cert (10/4/07)  
                     Submit to drug testing once every 60 days  
Probation Completed:

Drug Policy Suspension     Paul B. Holbrook  
Suspended July 14, 2006

Drug Policy Suspension     Randy Halcomb             Hazard  
Suspended July 14, 2006  
Settlement – August 24, 2006  
Sanctions:     1 year probation Surface Miner's Cert (8/24/07)  
                     Participate in substance abuse counseling until  
                     program certifies he has successfully completed  
                     program  
                     Submit to drug testing once every 60 days  
Probation Completed:  
Counseling Completed:

07-MSRC-018             Timothy Dean Lester             Pikeville  
Settlement – April 20, 2007  
Sanctions:     1 year Probation Mine Foreman's Cert (4/20/08)  
                     8 hours special safety training w/in 45 days (6/4/07)  
Probation Completed:  
Training Completed:

06-MSRC-001             Clyde J. Dingess             Pikeville  
Settlement – April 13, 2006  
Sanctions:     6 month Probation UG Mine Foreman Cert A-180-02  
                     (10/13/06)  
Probation Completed:

06-MSRC-002             Ray Lamb                     Harlan

Default – October 12, 2006

Sanctions: 2 year revocation UG Mine Foreman's Cert (10/12/08)  
2 year probation UG Mine Shot Firer's Cert (10/12/08)  
2 year probation UG Miner's Cert (10/12/08)  
After two year revocation period must retake and pass  
Kentucky Underground Mine Foreman Examination  
8 hours special safety training w/in 45 days (11/27/06)

Revocation Completed:

Probation Completed:

Exam:

Training Completed:

06-MSRC-003

Sonny Ward Martin

Revocation of UG Mine Foreman, UG Miner, Gas Detection, Drill Operator, Shot Firer-Conventional and Shot Firer-Solid Blasting Certificates 5/10/07

06-MSRC-003

Kevin R. Yonts Martin

Settlement – December 14, 2006

Sanctions: 6 month Probation UG Mine Foreman Cert (12/14/07)  
6 month Probation UG Coal Miner Cert (12/14/07)  
6 month Probation MET (12/14/07)  
6 month Probation Shot Firer-Conventional Cert (12/14/07)  
6 month Probation Gas Detection Cert (12/14/07)  
8 hours special safety training w/in 45 days (1/29/07)

Probation Completed:

Training Completed: **YES – 2/23/07**

06-MSRC-004

Bobby Young Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation Electrical Worker's Cert HD-262-05 (7/13/08)  
2 year Probation UG Coal Miner Cert (7/13/08)  
2 year Probation UGM Foreman Cert A-205-2 (7/13/08)  
2 year Probation Gas Detection Cert PE-119-93 (7/13/08)  
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-004

Michael P. Collins Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation Electrical Worker's Cert HD-277-05 (7/13/08)  
2 year Probation UG Coal Miner Cert (7/13/08)  
2 year Probation UGM Foreman Cert A-193-01 (7/13/08)  
2 year Probation Gas Detection Cert HD-007-04 (7/13/08)  
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-004

Jerry Oliver Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation UGM Foreman Cert A-25-90 (7/13/08)  
2 year Probation UG Miner Cert (7/13/08)  
2 year Probation Shot Firer-Conventional Cert HD-26-90  
(7/13/08)  
2 year Probation MET Cert HD-277-97 (7/13/08)  
2 year Probation Gas Detection Cert HD-51-88 (7/13/08)  
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-006

Rick Darrell Fouts Hazard

Settlement – August 31, 2006

Sanctions: 1 year Suspension UG Mine Foreman Cert A-154-93  
(8/31/07)  
1 year Probation UG Mine Cert (8/31/07)  
1 year Probation EMT Cert 20994 (8/31/07)  
1 year Probation Gas Detection Cert HD-282-95 (8/31/07)  
1 year Probation Shot Firer Cert MN-00509 (8/31/07)  
8 hour Special Safety Training w/in 45 days (10/16/06)

Suspension Completed:

Probation Completed:

Training Completed: **Yes – 10/27/06**

06-MSRC-007

William G. Ratliff Pikeville

Settlement – June 8, 2006

Sanctions: 6 month Probation UGM Foreman Cert A-62-05 (12/8/06)  
6 month Probation UG Coal Mine Cert (12/8/06)  
6 month Probation Shot Firer-Solid Blasting Cert PE-024-  
05 (12/8/06)  
6 month Probation Shot Firer-Conventional Cert PE-025-05  
(12/8/06)  
6 month Probation MET Cert PE-045-00 (12/8/06)  
6 month Probation Drill Operator Cert PE-024-05 (12/8/06)  
8 hour special safety training w/in 45 days @ OMSL  
Pikeville Office (7/24/06)

Training Completed: **YES – 7/23/06**

Probation Completed:

06-MSRC-007

Steven R. Lester Pikeville

Default – May 16, 2006

Sanctions: 2 year Probation Electrical Cert PE-506-02 (5/16/08)  
2 year Probation Gas Detection Cert (5/16/08)  
2 year Probation UG Mine Cert PE-187-95 (5/16/08)  
8 hour special safety training w/in 45 days @ OMSL  
Pikeville Office (6/30/06)

Training Completed:

Probation Completed:

06-MSRC-007

Mickey Stapleton Pikeville

Default – May 16, 2006

Sanctions: 1 year Revoked UGM Foreman's Cert A-152-92 (5/16/07)  
2 year Probation UGM Cert (5/16/08)



2 year Probation First Aid-8 hrs. Cert HD-008-092  
(7/13/08)

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed: **YES – 7/28/06**

06-MSRC-012

Tim Brock Hazard

Settlement – August 11, 2006

Sanctions: 6 month Probation Mine Foreman Cert (2/11/07)

8 hour Special Safety Training w/in 45 days (9/25/06)

Probation Completed:

Training Completed: **YES – 3/22/07**

06-MSRC-012

Gary Simpson Hazard

Settlement – July 13, 2006

Sanctions: 6 month Probation UGM Foreman Cert (1/13/07)

Probation Completed:

06-MSRC-012

Arvil Campbell Hazard

Settlement – July 13, 2006

Sanctions: 6 month Probation UGM Foreman Cert (1/13/07)

8 hour Special Safety Training

Probation Completed:

Training Completed: **YES – 6/30/06**

06-MSRC-012

Shannon Hurt Hazard

Default – July 13, 2006

Sanctions: 6 month Probation Electrical Cert HD-305-84 (1/13/07)

1 year Probation Surface Miner's Cert (7/13/07)

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed: **YES – 2/27/07**

06-MSRC-013

Robert Stanley Smith Hazard

Settlement – September 14, 2006

Sanctions: 2 year Revocation Mine Foreman's Cert (9/14/08)

2 year Revocation Gas Detection Cert (9/14/08)

2 year Revocation Shot Fire Solid Blasting Cert (9/14/08)

2 year Revocation Short Firer Conventional Cert (9/14/08)

2 year Revocation Drill Operator Cert (9/14/08)

2 year Probation UG Miner's Cert (9/14/08)

8 hour Special Safety Training w/in 45 days (10/30/06)

Revocation Completed:

Probation Completed:

Training Completed: **Yes – 10/27/06**

06-MSRC-014

David Chester Bevins Pikeville

Default – July 13, 2006

Sanctions: 1 year Probation Surface Miner's Cert (7/13/07)

1 year Probation UGM Cert (7/13/07)

6 month Probation Gas Detection Cert PE-672-82 (1/13/07)

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:  
Training Completed: **YES – 8/30/06**

06-MSRC-016

Jeffery D. McGuire Martin

Settlement – July 13, 2006

Sanctions: 1 year Suspension Miner Foreman's Cert (7/13/07)  
1 year Probation UG Coal Miner Cert (7/13/07)  
1 year Probation Drill Operator Cert (7/13/07)  
1 year Probation Electrical Worker's Cert (7/13/07)  
1 year Probation Shot Firers Cert (7/13/07)  
1 year Probation Shot Firers-Solid Blasting Cert (7/13/07)  
8 hour Special Training w/in 45 days (8/28/06)

Suspension Completed:

Probation Completed:

Training Completed: **YES – 8/11/06**

06-MSRC-032

Harold David Mosley Martin

Default – October 12, 2006

Sanctions: 2 year suspension Mine Foreman Cert (10/12/08)  
2 year probation Mining Instructors UG Cert (10/12/08)  
2 year probation Gas Detection Cert (10/12/08)  
2 year probation Drill Operator's Cert (10/12/08)  
2 year probation Electrical Worker's Cert (10/12/08)  
2 year probation EMT Cert (10/12/08)  
2 year probation MET Cert (10/12/08)  
2 year probation Shot Firer Solid Blasting Cert (10/12/08)  
2 year probation Shot Firer Conventional Cert (10/12/08)  
8 hours special safety training w/in 45 days (11/27/06)

Suspension Completed:

Probation Completed:

Training Completed: **YES – 12/01/06**

06-MSRC-032

Alvin Noble Martin

Default – October 12, 2006

Revocation UG Miner, UG Mine Foreman, Assistant Mine Foreman, Drill Operator, First Aid, Gas Detection, MET, Shot Firer-Conventional, Shot Firer-Solid Blasting & Surface Mine Foreman Certs 3/22/07

06-MSRC-032

Jeffrey McGuire Martin

Settlement – October 12, 2006

Sanctions: Permanent revocation Mine Foreman's Cert  
3 year suspension Drill Operator's Cert (10/12/09)  
3 year suspension Gas Detection Cert (10/12/09)  
3 year suspension Shot Firer Conventional Cert (10/12/09)  
3 year suspension Shot Firer Solid Blasting Cert (10/12/09)  
4 year probation Electrical Worker's Cert (10/12/10)

Suspension Completed:

Probation Completed:

06-MSRC-061

John Goins Barbourville

Settlement – December 19, 2006

Sanctions: 1 year Probation Surface Miner's Cert (12/19/07)



Settlement – September 14, 2006  
Sanctions: 2 year Probation UG Mine Foreman’s Cert A-792-82  
(9/14/08)  
2 year Probation Assistant UG Mine Foreman’s Cert A-  
173-78 (9/14/08)  
Probation Completed:

05-MSRC-005 Jerry D. Morgan Hazard  
Settlement—March 24, 2005  
Sanctions: 1 year Probation of Elect. Cert PE-208-03 (3/24/06)  
4-8 hr electrical training with D.Johnson w/in 45  
days 120 days (7/22/05) to take and pass Electrical  
Exam Training Completed: **YES**  
Exam passed: **YES – 4/26/06**  
Probation completed: **YES – 3/24/06**

05-MSRC-005 James E. Byrd Hazard  
Settlement—October 11, 2005  
Sanctions: 2 year Probation UG Miner Cert A-138-02 (10/11/07)  
120 days (2/8/06) to take and pass UGMF exam  
Exam passed: **YES - 2/23/06**  
Probation completed:

05-MSRC-006 Dennis Jewell Martin  
Settlement—May 16, 2005  
Sanctions: 1 year Probation Electrician Cert. and UG Miner Cert.  
(5/16/06)  
8 hr special safety training w/in 45 days (6/30/05)  
Training completed: **YES - 6/29/05**  
Probation completed: **YES – 5/16/06**

05-MSRC-007 Hobert Hoskins Hazard  
Default—November 10, 2005  
Sanction: 60 day Suspension UGM cert A-51-00 (1/9/06)  
8 hr special safety training w/in 45 days (12/25/05)  
Suspension completed: **YES - 1/9/06**  
Training completed:

05-MSRC-007 Rodney Morgan  
Settlement—January 4, 2006  
Sanctions: 1 year Probation UG Miner Cert (1/4/07)  
Probation completed:

05-MSRC-008 Larry Chapman Hazard  
Settlement—May 23, 2005  
Sanctions: 1 year Revocation Electrical Cert PE-205-04 (5/23/06)  
1 year Probation UG Miner Cert (5/23/06)  
4-8 hours special electrical training w/in 60 days  
(7/22/05)  
Training completed: **YES - 6/13/05**  
Revocation completed: **YES – 5/23/06**  
Probation completed: **YES – 5/23/06**



taking 8 hr safety training & taking and passing UGMF exam

Indefinite Suspension of Electrician Cert HN-184-00 upon taking 4 hr electrical training from chief electrical inspector and passing electrical exam

Indefinite Suspension of UG Mine Instructor MI-3-00 until take and pass UGMF exam

Training completed: **YES - 5/27/04 (Safety Training)**  
**YES - 12/21/04 (Electrical Training)**

Exam passed: **YES - 6/2/04 UGMF exam**

04-MSRC-002

Willie "Tick" Sizemore Barbourville  
On appeal to FCC 05-CI-1408

04-MSRC-002

Jimmy Morgan Barbourville  
Settlement—March 18, 2004  
Sanctions: 6 month Suspension UGMF cert A-151-97 (9/21/04)  
8 hr safety training w/in 30 days (4/18/04)  
Training completed: **Yes - 5/24 & 5/25/04**  
Suspension period completed: **YES - 9/21/04**

04-MSRC-002

Floyd Hibbard Barbourville  
Settlement—June 16, 2004  
Sanctions: 9 month Probation UGMF A-778-81 (3/16/05)  
8 hr safety training w/in 30 days (7/16/04)  
Training completed: **Yes - 3/31/06**  
Probation completed: **YES - 3/16/05**

04-MSRC-003

Donavan Asher  
Settlement—April 14, 2004  
Sanctions: 1 year Probation UGMF A-6-97 (4/14/05)  
Attend UGMF class (Barbourville or Hazard  
and pass UGMF exam w/in 1 year (4/14/05)  
Training completed:  
Exam passed:

04-MSRC-004

Jeffery Ambrose Hazard  
Settlement—May 19, 2004  
Sanctions: Indefinite Suspension of UGMF A-156-96 upon taking and passing UGMF exam & 1 year Probation from the date that he passes UGMF exam & prohibited from taking MET exam for 2 years.  
Exam passed: **took UGMF class but FAILED exam**  
Probation completed:

04-MSRC-007

George C. Waugh Martin  
Settlement—January 11, 2005  
Sanctions: 2 year Probation UGMF A-48-02 (1/11/07)  
8 hr special safety training w/in 45 days (2/25/05)  
Training completed: **YES - 3/1/05**  
Probation completed:



04-MSRC-011      John H. Mullins                      Martin  
Settlement—April 18, 2005  
Sanctions:      1 year Probation Shot Firer cert MN-014-96 (4/18/06)  
                         1 year Probation UGM cert (4/18/06)  
                         8 hr blaster safety training w/in 45 days (6/2/05)  
                         take & pass Blaster exam w/in 120 days (8/16/05)  
Training completed: **YES - 6/29/05**  
Exam passed:  
Probation completed: **YES - 4/18/06**

04-MSRC-011      Hubert Thomas                      Martin  
Settlement—May 26, 2005  
Sanctions:      1 year Probation Drill Operator license (5/26/06)  
                         1 year Probation Shot Firer HD-026-83 (5/26/06)  
                         1 year Probation UGM cert (5/26/06)  
                         8 hr blaster safety training w/in 45 days (7/10/05)  
                         take & pass Blaster exam w/in 120 days (9/23/05)  
Training completed:  
Exam passed:  
Probation completed: **YES - 5/26/06**

04-MSRC-013      Steven T. Moore                      Harlan  
Settlement—November 29, 2004  
Sanctions:      1 year Revocation UGMF A-213-98 (11/29/05)  
                         1 year Probation UGM Cert (11/29/05)  
                         4 hr special safety training w/in 45 days (1/13/05)  
                         take & pass UGMF exam before being recertified  
Training completed:  
Probation completed: **YES - 11/29/05**  
Revocation period completed: **YES – 11/29/05**  
Exam passed:

04-MSRC-013      Lonnie Couch                      Harlan  
Settlement—January 13, 2005  
Sanctions:      1 year Revocation UG Mining Instructor MI-100-91  
                         (1/13/06)  
                         1 year Probation UGMF A-16-92 (1/13/06)  
                         1 year Probation UGM Cert (1/13/06)  
                         8 hr special safety training w/in 45 days (2/27/05)  
                         take & pass UGMF exam w/in 120 days (5/13/05)  
Training completed:  
Probation completed: **YES - 1/13/06**  
Revocation period completed: **YES - 1/13/06**  
Exam passed:

04-MSRC-013      Robert Woods                      Harlan  
Settlement—November 29, 2004  
Sanctions:      2 year Probation UGM Cert (11/29/06)  
                         4 hr safety training w/in 45 days (1/13/05)  
Training completed:  
Probation completed:



Settlement – April 13, 2006

Sanctions: Barred from holding a license to operate an underground mine for 3 years (4/13/09)  
3 year Revocation UGM Foreman’s Cert (4/13/09)  
3 year Probation UG Miner’s Cert (4/13/09)

Revocation Completed:

Probation Completed:

04-MSRC-019

Gary V. Planck Harlan

Settlement—February 8, 2005

Sanctions: 15 month Probation UGM (5/8/06)  
8 hr training w/in 45 days (3/25/05)

Training completed:

Probation completed: **YES – 5/8/06**

04-MSRC-019

Marty Boggs Harlan

Settlement—February 7, 2005

Sanctions: 15 month Probation UGM (5/7/06)  
8 hr training w/in 45 days (3/24/05)

Training completed:

Probation completed: **YES – 5/7/06**

04-MSRC-019

Anthony Boggs Harlan

Settlement—February 7, 2005

Sanctions: 6 month Revocation UGMF A-8-99 (8/7/05)  
Take and pass UGMF exam and 1 year probation upon passing exam (11/30/06)  
18 month Probation UGM (8/7/06)  
8 hr safety training w/in 45 days (3/24/05)

Training completed: **YES - 3/3/05**

Revocation period completed: **YES - 8/7/05**

Exam passed: **YES - 11/3/05**

Probation completed:

04-MSRC-019

Tony Dean Harlan

Settlement—February 6, 2005

Sanction: 6 month Revocation UGMF A-183-02  
Take and pass UGMF exam and 1 year Probation upon passing exam  
18 month Probation UGM (8/6/06)  
8 hr safety training w/in 45 days (3/23/05)

Training completed: **YES- 3/3/05**

Revocation period completed: **YES - 8/6/05**

Exam passed:

Probation completed:

04-MSRC-019

Anthony Simpson Harlan

Settlement—December 23, 2004

Sanctions: 1 year Probation UGM (12/23/05)  
8 hr safety training w/in 45 days (2/6/05)

Training completed:

Probation completed:



upon completion of 4 hr electrical training and passing electrical exam within 1 year (7/21/04) or revoked permanently

Training completed: **YES - 4/20/04**

Exam passed: **YES - 7/21/04 (new # HD-013-04)**

Probation completed: **YES - 7/21/05\* [Ratliff has been prosecuted before the MSRC for violations occurring during the probation period.]**

03-MSRC-011

Harold Swiney

Default—October 16, 2003

Sanctions: 1 year Revocation Electrical Cert PE-589-02 (10/16/04)

1 year Revocation UGMF A-193-93 (10/16/04)  
take & pass Electrical exam to be reinstated  
take & pass UGMF exam to be reinstated

Revocation period completed: **YES**

Exam passed:

03-MSRC-012

Robert C. Ratliff, Jr.

Settlement—January 2, 2005

Sanctions: 5 year Revocation UGMF Cert, Drill Operator and Shot Firer (January 2, 2010)

Revocation period completed:

03-MSRC-012

Eugene Conley

Settlement—November 19, 2004

Sanctions: 5 year Revocation UGMF, SMF, Drill Operator and Shot Firer (11/19/09)

8 hr safety training before returning to work in coal

Training completed:

Revocation period completed:

\*03-MSRC-012

Robert DeLong

Settlement—October 17, 2003

Sanctions: Permanent Revocation Shot Firer

8 hr safety training before returning to work in coal

Training completed: **YES - 6/7/04**

03-MSRC-012

Robert C. Ratliff, Sr.

Settlement—January 5, 2005

Sanctions: 5 year Revocation UGMF, SMF, Drill Operator, Shot Firer, and Conventional Shot Firer (1/5/10)

8 hr safety training before returning to work in coal

Training completed:

Revocation period completed:

03-MSRC-013

Eugene Conley and Robert C. Ratliff, Sr.

Consolidated with 03-MSRC-012

\*03-MSRC-014

Jackie Holbrook

Settlement—November 13, 2003

Sanctions: Permanent Revocation UGMF Cert A-123-97  
2 year probation UGM Cert (11/13/05)  
Probation completed: **YES - 11/13/05**

03-MSRC-015 James M. Brewer Harlan  
Settlement—October 2, 2003  
Sanctions: 30 day Suspension UGM followed by 18 month  
Probation (5/2/05)  
8 hr safety training w/in 30 days  
Training completed:  
Probation completed: **YES - 5/2/05**

03-MSRC-015 Doyle Gray Harlan  
Settlement—October 2, 2003  
Sanctions: 1 year Probation UGM (10/2/04)  
8 hr safety training w/in 30 days  
Training completed:  
Probation completed: **YES - 10/2/04**

03-MSRC-017 Robert Dotson, Jr. Martin  
Settlement—April 13, 2004  
Sanctions: 1 year Probation UGM (4/13/05)  
8 hr safety training w/in 30 days  
Training completed:  
Probation completed: **YES - 4/13/05**

03-MSRC-018 Douglas Cowan Harlan  
Settlement—December 5, 2003  
Sanctions: 6 month Suspension UGMF A-148-92 followed by  
1 year Probation at end of suspension period  
6 month Suspension UG Mine Instructor Cert  
MI-032-92 followed by 1 year Probation at end of  
suspension period  
8 hr safety training w/in 30 days  
Training completed:  
Suspension period completed: **YES - 6/5/04**  
Probation completed: **YES - 6/5/05**

03-MSRC-020 Ray Lamb Harlan  
Settlement—February 10, 2004  
Sanctions: 18 month Probation UGMF A-246-85  
8 hr safety training w/in 30 days  
Training completed:  
Probation completed: **YES - 8/10/05**

03-MSRC-022 David William West  
Settlement—January 6, 2004  
Sanctions: 3 year Revocation UGMF A-159-90 (1/6/07)  
3 year Revocation Electrical Cert MN-068-02 (1/6/07)  
3 year Suspension Surface Mine Foreman  
Cert S-14-98 (1/6/07)  
3 year Probation Hoisting Engineer Cert. MN-007-00

3 year Probation UGM cert

Revocation period completed:

Suspension period completed:

Probation completed:

\*02-MSRC-002

Ted Ray Brown

Settlement—April 9, 2002

Sanctions: Permanent Revocation UG Mine Instructor MI-036-90  
Permanent Revocation Surface Mine Instructor  
SI-060-95  
Permanent Revocation UGMF A-25-88  
Permanent Revocation SMF S-238-83

02-MSRC-003

Harold Hacker

Hazard

Settlement—February 12, 2003

Sanctions: 18 month Revocation UGMF A-140-94 (8/12/04)  
Take and pass exam to be recertified  
18 month Revocation SMF S-989-85 (8/12/04)  
take & pass exam to be recertified  
18 month Revocation UG Mine Instructor MI-14988  
(8/12/04)  
take & pass exam to be recertified

Revocation period completed: **YES - 8/12/04**

Exams passed:

02-MSRC-006

Billy Wayne Mize

Harlan

Settlement—October 10, 2002

Sanctions: 2 year Revocation UGMF A-5-99 (10/10/04)  
3 year Revocation Electrical Cert HN-476-01 (10/10/05)  
Take and pass UGMF exam to be reinstated  
Take and pass Electrical exam to be reinstated

Revocation period completed: **YES**

Exams passed:

02-MSRC-006

Lanious Mize

Harlan

Settlement—October 10, 2002

Sanctions: 3 year Revocation UGMF A-786-74 (10/10/05)  
Take and pass UGMF exam to be reinstated

Revocation period completed: **YES**

Exam passed:

\*02-MSRC-008

Corbett Howell

Settlement—November 12, 2002

Sanctions: Permanent Revocation Shot Firer Cert MN-929-82  
2 year Probation UGMF Cert A-218-90 (11/12/05)

Probation completed: **YES - 11/12/05**

\*02-MSRC-010

Bobby Joe Horn

Settlement—January 9, 2003

Sanctions: Permanent Revocation Surface Mine Instructor  
SI-20-01  
Permanent Revocation UG Mine Instructor MI-15-97

2 year Probation UGMF A-75-96  
Probation completed: **YES - 2/4/05**

- \*02-MSRC-011     Robert L. Stewart                      Martin  
Default—February 25, 2003  
Sanctions:     Permanent Revocation UG Mine Instructor MI-16-98  
                    Permanent Revocation MET Instructor WI-002-99  
                    Permanent Revocation UGMF A-145-96
- 01-MSRC-001     David Sturgill                              Martin  
Settlement—April 27, 2003  
Sanctions:     4 year Revocation UGMF A-110-81 (4/27/07)  
                    Take and pass exam to be recertified  
Revocation period completed:  
Exam passed:
- 01-MSRC-001     Paul Clevinger                              Martin  
Settlement—April 27, 2003  
Sanctions:     4 year Revocation UGMF A-354-75  
                    Take and pass exam to be recertified  
Revocation period completed:  
Exam passed:
- 01-MSRC-002     Charles Hensley  
Settlement—January 8, 2003  
Sanctions:     2 year Revocation UGMF A-91-96  
                    Take and pass UGMF exam to be reinstated  
Revocation period completed: **YES**  
Exam passed:
- 01-MSRC-002     Verling Hall  
Settlement—August 9, 2002  
Sanctions:     5 year Revocation UGMF A-152-69 (8/9/07)  
Revocation period completed:
- 01-MSRC-002     James Helton  
Settlement—October 24, 2002  
Sanctions:     5 year Revocation Electrical Cert HN-430-00  
                    (10/24/07)  
Revocation period completed: